

Notice of Allowability

Application No.

09/828,159

Examiner

Eugene Lee

Applicant(s)

UEMURA, TOSHIYA

Art Unit

2815

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to RCE filed 10/26/04.
2. ☒ The allowed claim(s) is/are 14-16, 18-20, 22, 23, 26-36 and 38.
3. ☒ The drawings filed on 21 August 2001 are accepted by the Examiner.
4. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☒ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☒ Certified copies of the priority documents have been received in Application No. 09/365,832.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
 6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☒ Interview Summary (PTO-413), Paper No./Mail Date _____
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____

Tom Thomas
TOM THOMAS
SUPERVISORY EXAMINER
TECHNOLOGY CENTER

DETAILED ACTION

Examiner's Amendment

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

Claim 39, previously withdrawn from consideration for being drawn towards a non-elected invention, has been cancelled.

Authorization for this examiner's amendment was given in a telephone interview with Phillip E. Miller on December 7, 2004.

Allowable Subject Matter

2. Claims 14 thru 16, 18 thru 20, 22, 23, 26 thru 36, and 38 are allowed.
3. The following is a statement of reasons for the indication of allowable subject matter:
The references of record, either singularly or in combination, do not teach or suggest at least "a semiconductor light-emitting apparatus of a flip-chip bonding type, comprising: a transparent base comprising an inorganic material; a semiconductor light-emitting element, and wherein the inorganic material comprises a fluorescent material dispersed therein." (claims 14-16, 18, and 22)

Regarding claims 19, 20, 23, and 38, the references of record, either singularly or in combination, do not teach or suggest at least "a pair of lead frames for use in a light-emitting

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apparatus of a flip chip bonding type, said apparatus comprising: a transparent base having a first surface and comprising a fluorescent material; and a light-emitting device fixed on the first surface.”

Regarding claims 26-36, the references of record, either singularly or in combination, do not teach or suggest at least “a semiconductor light-emitting apparatus comprising: a base, a light-emitting element; said light-emitting element comprising: a substrate; a light-emitting layer formed on said substrate; and a fluorescent material which is disposed in said base and is adjacent to said substrate and on an opposite side of said substrate from said light-emitting layer.”

INFORMATION ON HOW TO CONTACT THE USPTO

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eugene Lee whose telephone number is 571-272-1733. The examiner can normally be reached on M-F 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Tom Thomas can be reached on 571-272-1664. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Eugene Lee
December 7, 2004

Tom Thomas
TOM THOMAS
SUPERVISOR IN CHARGE
TECHNOLOGY OFFICE